

101, 105 *10/10/99*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Horvitz, et al.

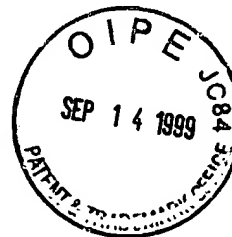
Application No.: 09/364,522

Filed: 7/30/1999

Title: Methods for Display, Notification, and  
Interaction with Prioritized Messages

Attorney Docket No.: 1018.028US1

Group Art Unit:  
2761



**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee (X) Utility = \$760.00

( ) Additional claim fees of \$

(X) Missing Parts Surcharge = \$130.00

The total of the above is \$890. Authorization is hereby given to deduct this amount from deposit account no. 500463. A copy of this sheet is attached for these purposes.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: September 8, 1999

Typed Name: Michael Dryja

Signature: *Michael Dryja*

By *Michael A. Dryja*

Michael A. Dryja  
Registered Patent Attorney  
Reg. No. 39662

Date: September 8, 1999

Telephone No.: 425-427-5094



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

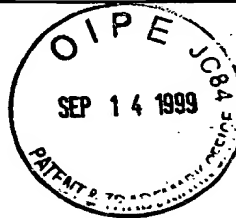
#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/364,522 07/30/99 HORVITZ

E 108.028US1

0212/0831



NOT ASSIGNED

MICHAEL A DRYJA  
LAW OFFICES OF MICHAEL DRYJA  
704 228TH AVENUE NE  
PMB 694  
REDMOND WA 98053

2761

DATE MAILED:

08/31/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 870.

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts".

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

09/16/1999 HLE111 00000105 500463 09364522  
760.00 CH  
130.00 CH  
001 FC:101  
002 FC:105

FILE COPY



Receipt

Practitioner's Docket No. 1018.028US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Horvitz, et al.

Application No.: 0 / 09/364,522

Group No.: 2761

Filed: 7/30/99

Examiner:

For:

Methods for Display, Notification, and Interaction with Prioritized Messages

Assistant Commissioner for Patents

Washington, D.C. 20231

RECEIVED  
JUN 14 2000  
TC 2700 MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

Error in

Correct data

1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☒ Title
4. ☐ Filing Date
5. ☐ Application Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

- 1.
- 2.
- 3.
- 4.
- 5.
6. The word PRIORITIZED in the title was spelled
7. incorrectly.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 9/8/99

Signature

Michael Dryja

(type or print name of person certifying)

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JUN 14 2000  
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3. (complete the following applicable item)

A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

OR

B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. § 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is check for \$25.00.

☐ Charge Account \_\_\_\_\_ \$25.00.



SIGNATURE OF PRACTITIONER

Reg. No.: 39,662

Michael Dryja

(type or print name of practitioner)

Tel. No.: (425) 427-5094

704 228th Avenue NE PMB 694 Redmond, WA 98053

P.O. Address

Customer No.:

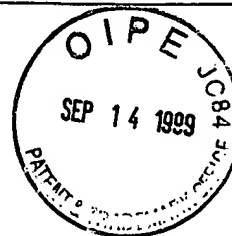
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/364,522	07/30/99	2761	\$0.00	108.028US1	15	43	4

MICHAEL A DRYJA  
LAW OFFICES OF MICHAEL DRYJA  
704 228TH AVENUE NE  
PMB 694  
REDMOND WA 98053



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) ERIC HORVITZ, KIRKLAND, WA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/30/99

TITLE

METHODS FOR DISPLAY, NOTIFICATION, AND INTERACTION WITH PRIORITIZED MESSAGES

PRELIMINARY CLASS: 705

RECEIVED  
JUN 14 2000  
TC 2700 MAIL ROOM

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SEP 07 1999

COPY

DATA ENTRY BY: GENTRY, CHRISTINE TEAM: 01 DATE: 08/30/99

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(See reverse for new important information)